

CHILD CARE AND DEVELOPMENT FUND PLAN

FOR

FFY 2004-2005

This Plan describes the CCDF program to be conducted by the State for the period 10/1/03 - 9/30/05. As provided for in the applicable statutes and regulations, the Lead Agency has the flexibility to modify this program at any time, including changing the options selected or described herein.

The official text of the applicable laws and regulations govern, and the Lead Agency acknowledges its responsibility to adhere to them regardless of the fact that, for purposes of simplicity and clarity, the specific provisions printed herein are sometimes paraphrases of, or excerpts and incomplete quotations from, the full text.

Public reporting burden for this collection of information is estimated to average 162.57 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information.

An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

(Form ACF 118 Approved OMB Number: 0970-0114 expires 02-29-2004)

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

TABLE OF CONTENTS

A 3 / T	KITTA	ATT'N TO	TO	т.	\sim	
AME:	מנוע	/IH.IN	1.5	1.3		lτ

PART 1	ADMINISTRATION
PART 2	DEVELOPING THE CHILD CARE PROGRAM Section 2.1 - Consultation and Results of Coordination Section 2.2 - Public Hearing Process Section 2.3 - Public-Private Partnerships
PART 3	CHILD CARE SERVICES OFFERED Section 3.1 - Description of Child Care Services Section 3.2 - Payment Rates for Child Care Section 3.3 - Eligibility Criteria for Child Care Section 3.4 - Priority for Children Section 3.5 - Sliding Fee Scale for Child Care Services Section 3.6 - Certificate Payment System
PART 4	PROCESSES WITH PARENTS
PART 5	ACTIVITIES & SERVICES TO IMPROVE THE QUALITY AND AVAILABILITY OF CHILD CARE Section 5.1 - Quality Earmarks and Set-Aside Section 5.2 - <i>Good Start, Grow Smart</i> Planning & Development
PART 6	HEALTH AND SAFETY REQUIREMENTS FOR PROVIDERS (50 States & District of Columbia only) Section 6.1 - Health and Safety Requirements for Center-based providers Section 6.2 - Health and Safety Requirements for Group Home providers Section 6.3 - Health and Safety Requirements for Family providers Section 6.4 - Health and Safety Requirements for In-Home providers Section 6.5 - Exemptions to Health and Safety Requirements Section 6.6 - Enforcement of Health and Safety Requirements Section 6.7 - Exemptions from Immunization Requirements
PART 7	HEALTH AND SAFETY REQUIREMENTS IN THE TERRITORIES
APPENDI APPENDI	X 1 PROGRAM ASSURANCES & CERTIFICATIONS X 2 ELIGIBILITY AND PRIORITY TERMINOLOGY X 3 ADDITIONAL CERTIFICATIONS (on file - not included here) D ATTACHMENTS

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

AMENDMENTS LOG

Child Care and Development Services Plan for For the period: 10/1/03 -- 9/30/05

SECTION AMENDED	EFFECTIVE/ PROPOSED EFFECTIVE DATE	DATE SUBMITTED TO ACF	DATE APPROVED BY ACF

Instructions:

- 1) Lead Agency completes the first 3 columns and sends a photocopy of this Log (showing the latest amendment sent to ACF) <u>and</u> the amended section(s) to the ACF Regional contact. A copy of the Log, showing the latest amendment pending in ACF, is retained in the Lead Agency's Plan.
- 2) ACF completes column 4 and returns a photocopy of the Log to the grantee.
- 3) The Lead Agency replaces this page in the Plan with the copy of the Log received from ACF showing the approval date.

Note: This process depends on repeated subsequent use of the <u>same</u> Log page over the life of the Plan. At any time the Log should reflect all amendments, both approved and pending in ACF. The Lead Agency is advised to retain those "old" plan pages that are superseded by amendments in a separate appendix to its Plan.

Effective Date: (October 1, 2003
Amended Effective:	

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

PART 1 -- ADMINISTRATION

The agency shown below has been designated by the Chief Executive Officer of the State (or Territory), to represent the State (or Territory) as the Lead Agency. The Lead Agency agrees to administer the program in accordance with applicable Federal laws and regulations and the provisions of this Plan, including the assurances and certifications appended hereto. (658D, 658E)

1.1 Lead Agency Information (as designated by State chief executive officer)

Name of Lead Agency:

Address of Lead Agency:

Name and Title of the Lead Agency's Chief Executive Officer:

Phone & Fax Numbers:

1.2 State Child Care (CCDF) Contact Information (day-to-day contact)

Name and Title of the State Child Care Contact (CCDF):

Address of Contact:

Phone & Fax Numbers: E-Mail Address:

1.3 Estimated Funding

The Lead Agency <u>estimates</u> that the following amounts will be available for child care services and related activities during the 1-year period: October 1, 2003 through September 30, 2004. (§98.13(a))

-CCDF: \$

- -Federal TANF Transfer to CCDF (if known): \$
- -Direct Federal TANF Spending on Child Care (if known): \$
- -State CCDF Maintenance of Effort Funds: \$
- -State Matching Funds: \$
- -Total Funds Available: \$

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

1.4	The Lead Agency <u>estimates</u> that the following amount (and percentage) of the CCDF will be used to administer the program (not to exceed 5 percent): \$ (%). (658E(c)(3), §§98.13(a), 98.52)
1.5	Does the Lead Agency directly administer and implement <u>all</u> services, programs and activities funded under the CCDF Act, <u>including</u> those described in Part 5.1 – Activities & Services to Improve the Quality and Availability of Child Care, Quality Earmarks and Set-Aside?
	 Yes. – GO to Section 1.8. No, and the following describes how the Lead Agency maintains overall control when services or activities are provided through other agencies. (658D(b)(1)(A), §98.11)
1.6	For child care services funded under §98.50 (i.e., certificates, vouchers, grants/contracts for slots based on individual eligibility), does the Lead Agency itself: (§98.11) ?? Determine individual eligibility of non-TANF families? YES NO If NO, identify the name and type of agency that determines eligibility of non-TANF families for child care:
	?? Determine individual eligibility of TANF families? YES NO If NO, identify the name and type of agency that determines eligibility of TANF families for child care:
	?? Assist parents in locating child care? YES NO If NO, identify the name and type of agency that assists parents:
	?? Make payments to providers? YES NO Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

If NO, identify the name and type of agency that makes payments:

1.7		•	tity named in response to section 1.6 a non-governmental entity? (658D(b), a), 98.11(a))
	()	N Y	o. es, the following entities named in section 1.6 are non-governmental:
Section	n 1.8	- Use	e of Private Donated Funds
1.8.1			Lead Agency use private donated funds to meet a part of the matching ent of the CCDF pursuant to §98.53(e)(2) and (f)?
	()		o. GO TO 1.9 es. The name and type of entity designated to receive private donated funds is: Name: Address: Contact: Type: (government/private non-profit/private for-profit)
Section Child		- Use	e of State Pre-Kindergarten (Pre-K) Expenditures for CCDF-Eligible
1.9.1			ng this plan period, will State expenditures for Pre-K programs be used to meet of the CCDF maintenance of effort (MOE) requirement?
		()	No. Yes,
			The State assures that its level of effort in full day/full year child care services has not been reduced, pursuant to §98.53(h)(1).
			Estimated % of the MOE requirement that will be met with pre-K expenditures. (It may not exceed 20%.)
			If the State uses Pre-K expenditures to meet <u>more</u> than 10% of the MOE requirement, the following describes how the State will coordinate its Pre-K and child care services to expand the availability of child care (§98.53(h)(4)):
			Effective Date: October 1, 2003

Amended Effective: ____

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

1.9.2	During this plan period, will State expenditures for Pre-K programs be used to meet any of the CCDF Matching Fund requirement? (§98.53(h))
	() No.() Yes, and
	Estimated % of the Matching Fund requirement will be met with pre-K expenditures. (It may not exceed 20%.)
	If the State uses Pre-K expenditures to meet <u>more</u> than 10% of the Matching Fund requirement, the following describes how the State will coordinate its Pre-K and child care services to expand the availability of child care (§98.53(h)(4)):
1.9.3	If the State answered yes to 1.91 or 1.9.2, the following describes State efforts to ensure that pre-K programs meet the needs of working parents (§98.53(h)(2)):

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

Part 2--DEVELOPING THE CHILD CARE PROGRAM

2.1 - Consultation and Coordination

2.1.1 Consultation. Describe the consultation the Lead Agency held in developing this Plan and the results of that consultation. At a minimum, the description must include the following: ?? Representatives of local governments; ?? Tribal organizations when such organizations exist within the boundaries of the State. (658D(b)(2), §§98.12(b), 98.14(b)) 2.1.2 Coordination. Lead Agencies are required to coordinate with other Federal, State, local, tribal (if applicable), and private agencies providing child care and early childhood development services. Check any of the following services provided by agencies with which the Lead Agency coordinates. In each case identify the agency providing the service and describe the coordination and expected results: Public health including programs that promote children's emotional and mental health ____ Healthy Child Care America Campaign ___ Employment services Public education ___ TANF ___ State Pre-K programs ___ Head Start programs ____ Programs that promote inclusion for children with disabilities Others (please identify) $(658D(b)(1)(D), \S98.12(a), 98.14(a)(1) \& (2))$ 2.2 - Public Hearing Describe the Statewide public hearing process held to provide the public an opportunity to comment on the provision of child care services under this Plan. At a minimum, the description must indicate: ?? Date(s) of statewide notice of public hearing _____ ?? Manner of notifying the public about the statewide hearing

?? Date(s) of public hearing(s)

Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

?? Hearing site(s)	
?? How the content of the plan was made available to the public in advance of hearing(s) (658D(b)(1)(C), §98.14(c)):	the public

2.3 - Public-Private Partnerships

?? Describe the activities, including planned activities, to encourage public-private partnerships that promote private-sector involvement in meeting child care needs, <u>including the results or</u> expected results. (658D(b)(1), §98.16(d)):

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

PART 3 -- CHILD CARE SERVICES OFFERED

Section 3.1 - Description of Child Care Services

3.1.2

REMINDER: The Lead Agency must offer certificates for services funded under 45 CFR 98.50. (98.30) Certificates must permit parents to choose from a variety of child care categories, including center-based care, group home care, family child care and in-home care. (§98.30(e))
3.1.1 In addition to offering certificates, does the Lead Agency also have grants or contracts for child care slots?

()	No. Yes, and the following describes the types of child care services, the process for accessing grants or contracts, and the range of providers that will be available through grants or contracts: (658A(b)(1), 658P(4), §§98.16(g)(1), 98.30(a)(1) & (b))
	Lead Agency must allow for in-home care but may limit its use. Does the Lead ncy limit the use of in-home care in any way?
()	No. Yes, and the limits and the reasons for those limits are (§§98.16(g)(2), 98.30(e)(1)(iv)):

3.1.3 Are all of the child care services described in 3.1.1 above (including certificates) offered throughout the State? (658E(a), §98.16(g)(3))

()	Yes
()	No, and the following are the localities (political subdivisions) and the services
	that are <u>not</u> offered:

Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

Section 3.2 - Payment Rates for the Provision of Child Care

<u> </u>	ction 5.2 - Fayment Kates for the Frovision of Child Care
est coi	e statute at 658E(c)(4) and the regulations at §98.43(b)(1) require the Lead Agency to ablish payment rates for child care services that ensure eligible children equal access to mparable care. These rates are provided as Attachment The attached payment rates are ective as of
are wh	e following is a summary of the facts relied on by the State to determine that the attached rates sufficient to ensure equal access to comparable child care services provided to children ose parents are not eligible to receive child care assistance under the CCDF and other vernmental programs. Include, at a minimum:
??	The month and year when the local market rate survey(s) was completed: ($\S98.43(b)(2)$) A copy of the Market Rate Survey and the results of the survey are provided as Attachment
??	How the payment rates are adequate to ensure equal access based on the results of the above noted local market rate survey (i.e., the relationship between the attached payment rates and the market rates observed in the survey): (§98.43(b))
??	Additional facts that the Lead Agency relies on to determine that its payment rates ensure equal access include: (§98.43(d))
??	If the payment rates do <u>not</u> reflect individual rates for the <u>full</u> range of providers center-based, group home, family and in-home care explain how the choice of the full range of providers is made available to parents.

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

Section 3.3 - Eligibility Criteria for Child Care

By statute, all eligible children must be under the age of 13, or under age 19 if physically or mentally incapable of self-care, or under court supervision, and reside with a family whose income does not exceed 85% of the State Median Income (SMI) for a family of the same size and whose parent(s) are working or attending a job training or educational program or who receive or need to receive protective services. (658E(c)(3)(B), 658P(3), §98.20(a))

3.3.1 <u>Complete column (a) in the matrix below.</u> Complete Column (b) <u>ONLY IF</u> the Lead Agency is using income eligibility limits <u>lower</u> than 85% of the SMI).

IF APPLICABLE

Family Size	(a) 85% of State Median Income (SMI) (\$/month)	(b) Income Level, lower than 85% of SMI, it used to limit eligibility \$/month % of S.	
		\$/month	% 01 SW11
1			
2			
3			
4			
5			

The Lead A	gency uses t	he State	Median	Income	(SMI)	of the y	ear

3.3.2	How does the Lead Agency define "income" for the purposes of eligibility? Is any
	income deducted or excluded from total family income, for instance, work or
	medical expenses; child support paid to, or received from, other households;
	Supplemental Security Income (SSI) payments? Is the income of all family
	members included, or is the income of certain family members living in the
	household excluded? Please describe and/or include information as
	Attachment (\S 98.16(g)(5), 98.20(b))

Effective Date:	October	1,	2003
Amended Effective			

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

3.3.3	Has the Lead Agency established additional eligibility conditions or priority rules, for example, income limits that vary in different parts of the State, special eligibility for families receiving TANF, or eligibility that differs for families that include a child with special needs? (658E(c)(3)(B), §98.16(g)(5), §98.20(b))
	 () No () Yes, and the additional eligibility criteria are: (<u>Terms must be defined in Appendix 2</u>)
3.3.4	Has the Lead Agency elected to waive, on a case-by-case basis, the fee and income eligibility requirements for cases in which children receive, or need to receive, protective services, as defined in Appendix 2? (658E(c)(3)(B), 658P(3)(C)(ii), §98.20(a)(3)(ii)(A))
	 () Not Applicable, CCDF-funded child care is not provided in cases in which children receive, or need to receive, protective services. () No () Yes
3.3.5	Does the Lead Agency allow child care for children above age 13 but below age 19 who are physically and/or mentally incapable of self-care? (Physical and mental incapacity must then be defined in Appendix 2.) (658E(c)(3)(B), 658P(3), §98.20(a)(1)(ii))
	() No() Yes, and the upper age is
3.3.6	Does the Lead Agency allow child care for children above age 13 but below age 19 who are under court supervision? (658P(3), 658E(c)(3)(B), §98.20(a)(1)(ii)
	() No() Yes, and the upper age is

Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

3.3.7	Does the State choose to provide CCDF-funded child care to children in foster care whose foster care parents are <u>not</u> working, or who are <u>not</u> in education/training activities? (§§98.20(a)(3)(ii), 98.16(f)(7))
	 Yes. (NOTE: This means that for CCDF purposes the State considers these children to be in protective services.) No.
3.3.8	Does the State choose to provide respite child care to children in protective services? (§§98.16(f)(7), 98.20(a)(3)(ii)(A) & (B))
	() Yes. () No.
Section 3.4	4 Priorities for Children
3.4.1	The following describes the priorities for serving CCDF-eligible children including how statutorily required priority is given to children of families with very low family income and children with special needs: (Terms must be defined in Appendix 2) (658E(c)(3)(B))
3.4.2	The following describes how CCDF funds will be used to meet the needs of families who are receiving Temporary Assistance for Needy Families (TANF), families who are attempting through work activities to transition off of TANF, and families that are at risk of becoming dependent on TANF. (658E(c)(2)(H), Section 418(b)(2) of the Social Security Act, §§98.50(e), 98.16(g)(4))
3.4.3	The following describes how the Lead Agency addresses situations in which funding is not sufficient to serve all families that are technically eligible under State policies:

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

Section 3.5 - Sliding Fee Scale for Child Care Services

3.5.1	A sliding fee scale, which is used to determine each family's contribution to the cost of child care, must vary based on income and the size of the family. A copy of this sliding fee scale for child care services and an explanation of how it works is provided as Attachment
	Will the Lead Agency use additional factors to determine each family's contribution to the cost of child care? $(658E(c)(3)(B), \S 98.42(b))$
	 () No. () Yes, and the following <u>describes</u> any additional factors that will be used to determine a family's contribution including, but not limited to, a maximum amount (family cap), number of children in care, cost of care, and/or whether care is full or part-time:
3.5.2	Is the same sliding fee scale provided in the attachment in response to question $3.5.1$ above in use in <u>all</u> parts of the State? $(658E(c)(3)(B))$
	() Yes() No, and other scale(s) are provided as Attachment
3.5.3	The Lead Agency may waive contributions from families whose incomes are at or below the poverty level for a family of the same size, (§98.42(c)), and the poverty level used by the Lead Agency for a family of 3 is: \$
	The Lead Agency must elect ONE of these options:
	 () ALL families with income at or below the poverty level for a family of the same size ARE NOT required to pay a fee. () ALL families, including those with incomes at or below the poverty level for families of the same size, ARE required to pay a fee. () SOME families with income at or below the poverty level for a family of the same size ARE NOT required to pay a fee. Adescription of these families is:

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

3.5.4	Does the Lead Agency have a policy that prohibits child care providers from charging families any unsubsidized portion of the providers' normal fees (in addition to the contributions discussed in 3.5.1)? (§98.43(b)(3))
	() No() Yes, please describe:
3.5.5	The following is an explanation of how the copayments required by the Lead Agency's sliding fee scale(s) are affordable: (§98.43(b)(3))
Section 3.6	- Certificate Payment System
Lead Agend variety of p	e certificate means a certificate, check, or other disbursement that is issued by the cy directly to a parent who may use it only to pay for child care services from a roviders (including center-based, group home, family and in-home child care), or, if a deposit for services. (658E(c)(2)(A)), 658P(2), §§98.2, 98.16(k), 98.30(c)(3) &
Describe th	e overall child care certificate payment process, including, at a minimum:
3.6.1	A description of the form of the certificate: (§98.16(k))
3.6.2	A description of how the certificate program permits parents to choose from a variety of child care settings by explaining how a parent moves from receipt of the certificate to the choice of provider: (658E(c)(2)(A)(iii), 658P(2), §§98.2, 98.30(c)(4) & (e)(1) & (2))

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

3.6.3	If the Lead Agency is also providing child care services through grants and contracts,
	explain how it ensures that parents offered child care services are given the option of
	receiving a child care certificate. (§98.30(a) & (b))

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

PART 4 - PROCESSES WITH PARENTS

- 4.1 The following describes the process for a family to apply for and receive child care services (658D(b)(1)(A), 658E(c)(2)(D) & (3)(B), §§98.16(k), 98.30(a) through (e)). If the process varies for families based on eligibility category, for instance, TANF versus non-TANF, please describe. The description should include:
 - ?? How parents are informed of the availability of child care services and about child care options;
 - ?? Where/how applications are made;
 - ?? Who makes the eligibility determination;
 - ?? How parents who receive TANF benefits are informed about the exception to individual penalties as described in 4.4; and
 - ?? Length of eligibility period including variations that relate to the services provided, e.g., through collaborations with Head Start or pre-kindergarten programs.
 - ?? Any steps the State has taken to reduce barriers to initial and continuing eligibility for child care subsidies.
- 4.2 The following is a detailed description of how the State maintains a record of substantiated parental complaints and how it makes the information regarding such parental complaints available to the public on request. (658E(c)(2)(C), §98.32))
- 4.3 The following is a detailed description of the procedures in effect in the State for affording parents unlimited access to their children whenever their children are in the care of a provider who receives CCDF funds. (658E(c)(2)(B), §98.31))
- The regulations at §98.33(b) require the Lead Agency to inform parents who receive TANF benefits about the exception to the individual penalties associated with the work requirement for any single custodial parent who has a demonstrated inability to obtain needed child care for a child under 6 years of age.

Effective Date:	October 1, 2003
Amended Effective:	:

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

In fulfilling this requirement, the following criteria or definitions are applied by the TANF agency to determine whether the parent has a demonstrated inability to obtain needed child care:

est off	OTE: The TANF agency, not the Child Care Lead Agency, is responsible for ablishing the following criteria or definitions. These criteria or definitions are lered in this Plan as a matter of public record. The TANF agency that established se criteria or definitions is:
??	"appropriate child care":
??	"reasonable distance":
??	"unsuitability of informal child care":
??	"affordable child care arrangements":

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

PART 5 - ACTIVITIES & SERVICES TO IMPROVE THE QUALITY AND AVAILABILITY OF CHILD CARE

5.1 - Quality Earmarks and Set-Asides

	<u> </u>
5.1.1	The Child Care and Development Fund provides earmarks for infant and toddler care and school-age care and resource and referral services as well as the special earmark for quality activities. The following describes the activities; identifies the entities providing the activities; and describes the expected results of the activities.
	Infants and toddlers:
	Resource and referral services:
	School-age child care:
5.1.2	The law requires that <u>not less than 4%</u> of the CCDF be set aside for quality activities
5.1.2	(658E(c)(3)(B), 658G, §§98.13(a), 98.16(h), 98.51, 98.16(h)). The Lead Agency estimates that the following amount and percentage will be used for the quality activities (not including earmarked funds):
	\$(%)
5.1.3	Check either "Yes" or "No" for each activity listed to indicate the activities the Lead Agency will undertake to improve the availability and quality of child care (include activities funded through the 4% quality set-aside as well as the special earmark for quality activities). (658E(c)(3)(B), §§98.13(a), 98.16(h))
	Yes No Comprehensive consumer education;
	Completensive consumer education, Grants or loans to providers to assist in meeting State and local standards; Improving the monitoring of compliance with licensing and regulatory requirements;
	Professional development, including training, education, and technical
	Effective Date: October 1, 2003

Amended Effective: ____

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

assistance; Improving salaries and other compensation for child care providers; Activities in support of early language, literacy, and numeracy development; Activities to promote inclusive child care; Healthy Child Care America and other health activities including those designed to promote the social and emotional development of children; Other quality activities that increase parental choice, and improve the quality and availability of child care. (§98.51(a)(1) and (2))
5.1.4 Describe each activity that is checked "Yes" above, identify the entity(ies) providing the activity, and describe the expected results of the activity.
5.1.5 Is any entity identified in sections 5.1.1 or 5.1.4 a non-governmental entity?() No.() Yes, the following entities named in this part are non-governmental:
Name: Type: (government/private non-profit/private for-profit)
5.2 - Good Start, Grow Smart Planning and Development
This section of the Plan relates to the President's <i>Good Start, Grow Smart</i> initiative which is envisioned as a Federal-State partnership that creates linkages between CCDF, including funds set-aside for quality, and State public and private efforts to promote early learning. In this section, Lead Agencies are asked to assess their progress toward developing voluntary guidelines on language, literacy, pre-reading, and numeracy, a plan for the education and training of child care providers, and a plan for coordination across at least four early childhood programs and funding streams.
5.2.1 - Voluntary Guidelines for Early Learning
?? Indicate which of the following best describes the current status of the State's efforts to develop research-based early learning guidelines (content standards) regarding language, literacy, pre-reading, and numeracy for three to five year-olds:
a) Preliminary thinking or planning.
Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

	 b) Guidelines are being developed. c) Guidelines are developed but need to be modified. d) Guidelines are developed and implementation is in progress. e) Guidelines are developed and implemented in pre-kindergarten programs but not in child care. f) Guidelines are developed and implemented. g) Other. Please describe:
??	Describe the process that was used or is planned for developing the State's early learning guidelines. Indicate who or what entity provided (or is providing leadership) to the process as well as the stakeholders involved. Was (or is) the process framed by State legislation, research and/or guiding principles? If so, please describe. How are (or will) the early learning guidelines and the State's K-12 educational standards aligned? If they are not aligned, what steps will be taken to align them? If the early learning guidelines are in development, what is the expected date of completion?
??	Describe the domains of development that the early learning guidelines address or are expected to address, e.g., social, emotional, cognitive, linguistic, and physical. States that have completed early learning guidelines should include a copy as an appendix to the plan. If the guidelines are available on the web, provide the appropriate website address.
??	Describe the process the State used or expects to use in implementing its early learning guidelines, e.g., feedback and input processes, dissemination, piloting, training in the use of the guidelines, and linkages with other initiatives such as incentives for provider education and training. To what extent is (or was) implementation anticipated in the development of the guidelines? To which child care settings do (or will) the guidelines apply and are the guidelines voluntary or mandatory for each of these settings? How are (or will) community, cultural, linguistic and individual variations, as well as the diversity of child care settings (be) acknowledged in implementation?

Amended Effective:

Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

??	As applicable, describe the State's plan for assessing its early learning guidelines. What will
	be the focus of the evaluation, i.e., guideline development and implementation, programs or
	child care settings, and/or outcomes related to children? Will young children's progress be
	evaluated based on the guidelines? How will assessment be used to improve the State's
	guidelines, child care programs, plans and outcomes for individual children?

Section 5.2.2 - State Plans for Professional Development

??	Describe the provider training, technical assistance, and professional development
	opportunities that are available to child care providers. Are these opportunities available
	Statewide to all types of providers? If not, please describe.

?? Does the State have a child care provider professional development **plan**?

()	Yes; if so, identify the entities involved in the development of the plan and
	whether the plan addresses all categories of providers. As applicable, describe:
	how the plan includes a continuum of training and education, including
	articulation from one type of training to the next; how the plan addresses training
	quality including processes for the approval of trainers and training curriculum;
	how the plan addresses early language, literacy, pre-reading, and numeracy
	development. Indicate whether the plan is linked to early learning guidelines
	and, if so, how.

() No; if no, are steps under way to develop a plan? If so, describe the time frames for completion and/or implementation, steps anticipated, and how the plan is expected to support early language, literacy, pre-reading and numeracy.

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

??	Are program or provider-level incentives offered to encourage provider training and education? If yes, please describe. Include any links between the incentives and training relating to early language, literacy, pre-reading, and numeracy.
??	What are the expected outcomes of the State's professional development plan and efforts to improve the skills of child care providers? As applicable, how does (or will) the State assess the effectiveness of its plan and efforts? If so, how does (or will) the State use assessment to help shape its professional development plan and training/education for child care providers?
Sec	ction 5.2.3 - State Plan for Program Coordination
??	Does the State have a plan for coordination across early childhood programs? If so, is there an entity that is responsible for ensuring that such coordination occurs? Indicate the four or more early childhood programs and/or funding streams that are coordinated and describe the nature of the coordination. If no, what steps are under way to develop a plan for coordination?
??	Describe the results or expected results of this coordination. Discuss how these results relate to the development and implementation of the State's early learning guidelines, plans for professional development, and outcomes for children.
??	Describe how the State's plan supports or will support continued coordination among the programs. Are changes anticipated in the plan?

Amended Effective: _____

Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

PART 6 - HEALTH AND SAFETY REQUIREMENTS FOR PROVIDERS

(ONLY THE 50 STATES AND THE DISTRICT OF COLUMBIA COMPLETE PART 6. ONLY TERRITORIES COMPLETE PART 7.)

The National Resource Center for Health and Safety in Child Care (NRCHSCC) of DHHS's Maternal and Child Health Bureau supports a comprehensive, current, on-line listing of the licensing and regulatory requirements for child care in the 50 States and the District of Columbia. In lieu of requiring a State Lead Agency to provide information that is already publicly available, ACF accepts this compilation as accurately reflecting the States' licensing requirements. The listing, which is maintained by the University of Colorado Health Sciences Center School of Nursing, is available on the World Wide Web at: http://nrc.uchsc.edu/

Section 6.1 - Health and Safety Requirements for Center-Based Providers (658E(c)(2)(F).

§§98.41, §	98.16(j))
6.1.1	Are all <u>center-based</u> providers paid with CCDF funds subject to licensing under State law that is indicated in the NRCHSCC's compilation? If:
	() YES, answer 6.1.2 and proceed to 6.2.() NO, answer 6.1.2 and 6.1.3.
6.1.2	Have center licensing requirements as relates to staff-child ratios, group size, or staff training been modified since approval of the last State Plan? (§98.41(a)(2) & (3))
	() NO() YES, and the changes are as follows:
6.1.3	For that center-based care that is NOT licensed, and therefore not reflected in NRCHSCC's compilation, the following health and safety requirements apply to child care services provided under the CCDF for: ?? The prevention and control of infectious disease (including age-appropriate immunizations)
	?? Building and physical premises safety Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

?? Health and safety training

	6.2 - Health and Safety Requirements for Group Home Providers (658E(c)(2)(F), 98.16(j))
6.2.1	Are all group home providers paid with CCDF funds subject to licensing under State law that is indicated in the NRCHSCC's compilation? If:
	() YES, answer 6.2.2 and proceed to 6.3.() NO, answer 6.2.2 and 6.2.3.
6.2.2	Have group home licensing requirements as relates to staff-child ratios, group size, or staff training been modified since the approval of the last State Plan? (§98.41(a)(2) & (3))
	() NO() YES, and the changes are as follows:
6.2.3	For that group home care that is NOT licensed, and therefore not reflected in NRCHSCC's compilation, the following health and safety requirements apply to child care services provided under the CCDF for:
	?? The prevention and control of infectious disease (including age-appropriate immunizations)
	?? Building and physical premises safety
	?? Health and safety training

Amended Effective:

Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 - 9/30/05

Section 6.3 - Health and Safety Requirements for Family Providers (658E(c)(2)(F), §§98.41, 98.16(j))

Are all <u>family</u> child care providers paid with CCDF funds subject to licensing under State law that is indicated in the NRCHSCC's compilation? If:
() YES, answer 6.3.2 and proceed to 6.4.() NO, answer 6.3.2 and 6.3.3.
Have family child care provider requirements that relate to staff-child ratios, group size, or staff training been modified since the approval of the last State Plan? (§98.41(a)(2) & (3))
() NO() YES, and the changes are as follows:
For that family care that is NOT licensed, and therefore not reflected in NRCHSCC's compilation, the following health and safety requirements apply to child care services provided under the CCDF for:
?? The prevention and control of infectious disease (including age-appropriate immunizations)
?? Building and physical premises safety
?? Health and safety training
- Health and Safety Requirements for In-Home Providers (658E(c)(2)(F), 3.16(j))

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Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

6.4.1	Are all <u>in-home</u> child care providers paid with CCDF funds subject to licensing under the State law reflected in the NRCHSCC's compilation referenced above? If () YES, answer 6.4.2 and proceed to 6.5. () NO, answer 6.4.2 and 6.4.3.
6.4.2	Have in-home health and safety requirements that relate to staff-child ratios, group size, or training been modified since the approval of the last State Plan? (§98.41(a)(2) & (3))
	() NO() YES, and the changes are as follows:
6.4.3	For in-home care that is NOT licensed, and therefore not reflected in NRCHSCC's compilation, the following health and safety requirements apply to child care services provided under the CCDF for:
	?? The prevention and control of infectious disease (including age-appropriate immunizations)
	?? Building and physical premises safety
	?? Health and safety training

Section 6.5 - Exemptions to Health and Safety Requirements

At Lead Agency option, the following relatives: grandparents, great grandparents, aunts, uncles, or siblings (who live in a separate residence from the child in care) may be exempted from health and safety requirements (658P(4)(B), §98.41(a)(1)(ii)(A)). Indicate the Lead Agency's policy regarding these relative providers:

Effective Date:	October 1, 2003
Amended Effective	:

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

()	All relative providers are subject to the same requirements as described in sections 6.1 - 6.4 above, as appropriate; there are no exemptions for relatives or different requirements for them.
()	All relative providers are exempt from <u>all</u> health and safety requirements. Some or all relative providers are subject to <u>different</u> health and safety requirements from those described in sections 6.1 - 6.4. The following describes those different requirements and identifies the relatives they apply to:
Sec	ction 6.0	6 - Enforcement of Health and Safety Requirements
pro saf	oviders o ety requ	Agency is required to certify that procedures are in effect to ensure that child care of services for which assistance is provided comply with all applicable health and irements. (658E(c)(2)(E), §§98.40(a)(2), 98.41(d)) The following is a description of and safety requirements are effectively enforced:
??		ild care providers subject to <u>routine</u> unannounced visits (i.e., not specifically for the e of complaint investigation or issuance/renewal of a license)? () No () Yes, and the following indicates the providers subject to routine unannounced visits and the frequency of those visits.
??	Are chi	ild care providers subject to background checks? () No () Yes, and the following types of providers are subject to background checks (indicate when such checks are conducted):
	is in ca	ne State require that child care providers report serious injuries that occur while a child re? (Serious injuries are defined as injuries requiring medical treatment by a doctor, dentist, or other medical professional.) () No () Yes, and the following describes the State's reporting requirements and how such injuries are tracked (if applicable).

Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

____ Children whose medical condition contraindicates immunization.

Section 6.7 – Exemptions from Immunization Requirements

The State assures that children receiving services under the CCDF are age-appropriately immunized, and that the health and safety provisions regarding immunizations incorporate (by reference or otherwise) the latest recommendations for childhood immunizations of the State public health agency. (§98.41(a)(1))

The State exempts the following children from immunization (check all that apply):

____ Children who are cared for by relatives (defined as grandparents, great grandparents, siblings (if living in a separate residence), aunts and uncles).

___ Children who receive care in their own homes.

__ Children whose parents object to immunization on religious grounds.

Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 - 9/30/05

PART 7 - HEALTH AND SAFETY REQUIREMENTS IN THE TERRITORIES

Section 7.1 - Health and Safety Requirements for Center-Based Providers in the Territories (658E(c)(2)(F), §98.41(a), §98.16(j))

•	
For all <u>center-based</u> care, the following health and safety requirements apply to child care services provided under the CCDF for:	
?? The prevention and control of infectious disease (including age-appropriate immunizations)	
?? Building and physical premises safety	
?? Health and safety training	
Section 7.2 - Health and Safety Requirements for Group Home Providers in the Territorie (658E(c)(2)(F), §98.41(a), §98.16(j)) For all group home care, the following health and safety requirements apply to child care	<u>2S</u>
services provided under the CCDF for:	
?? The prevention and control of infectious disease (including age-appropriate immunizations)	
?? Building and physical premises safety	
Effective Date: October 1, 2003	

Amended Effective: ____

(658E(c)(2)(F), §98.41(a), §98.16(j))

immunizations)

provided under the CCDF for:

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

?? Health and safety training
Section 7.3 - Health and Safety Requirements for Family Providers in the Territories
(658E(c)(2)(F), §98.41(a), §98.16(j)) For all <u>family child care</u> , the following health and safety requirements apply to child care services provided under the CCDF for:
?? The prevention and control of infectious disease (including age-appropriate immunizations)
?? Building and physical premises safety
?? Health and safety training
Section 7.4 - Health and Safety Requirements for In-Home Providers in the Territories

For all <u>in-home</u> care, the following health and safety requirements apply to child care services

?? The prevention and control of infectious disease (including age-appropriate

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Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

?? Building and physical premises safety
?? Health and safety training
Section 7.5 - Exemptions to Territorial Health and Safety Requirements
At Lead Agency option, the following relatives may be exempted from health and safety requirements: grandparents, great grandparents, aunts, uncles, or siblings (who live in a separate residence from the child in care) (658P(4)(B), §98.41(a)(1)(ii)(A)). Indicate the Lead Agency's policy regarding these relative providers:
All relative providers are subject to the same requirements as described in sections 7.1 - 7.4 above, as appropriate; there are no exemptions for relatives or different requirements for them.
 All relative providers are exempt from <u>all</u> health and safety requirements. Some or all relative providers are subject to <u>different</u> health and safety requirements from those described in sections 7.1 - 7.4 and the following describes those different requirements and the relatives they apply to:
Section 7.6 - Enforcement of Health and Safety Requirements
Each Lead Agency is required to certify that procedures are in effect to ensure that child care providers of services for which assistance is provided comply with all applicable health and safety requirements. (658E(c)(2)(E), §§98.40(a)(2), 98.41(d)) The following is a description of how Territorial health and safety requirements are effectively enforced:
?? Are child care providers subject to <u>routine</u> unannounced visits (i.e., not specifically for the purpose of complaint investigation or issuance/renewal of a license)? () No
() Yes, and the following indicates the providers subject to routine unannounced visits and the frequency of those visits.

Effective Date: October 1, 2003

STATE PLAN FOR CCDF SERVICES FOR THE PERIOD 10/1/03 – 9/30/05

 ?? Are child care providers subject to background checks? () No () Yes, and the following types of providers are subject to background checks (indicate when such checks are conducted):
Does the Territory require that child care providers report serious injuries that occur while a child is in care? (Serious injuries are defined as injuries requiring medical treatment by a doctor, nurse, dentist, or other medical professional.) () No () Yes, and the following describes the Territory's reporting requirements and how such injuries are tracked (if applicable).
Other methods used to ensure that health and safety requirements are effectively enforced:
Section 7.7 – Exemptions from Immunization Requirements
The Territory assures that children receiving services under the CCDF are age-appropriately immunized, and that the health and safety provisions regarding immunizations incorporate (by reference or otherwise) the latest recommendations for childhood immunizations of the Territorial public health agency. (§98.41(a)(1))
The Territory exempts the following children from immunization (check all that apply):
 Children who are cared for by relatives (defined as grandparents, great grandparents, siblings (if living in a separate residence), aunts and uncles). Children who receive care in their own homes. Children whose parents object to immunization on religious grounds. Children whose medical condition contraindicates immunization.

Effective Date: October 1, 2003

STATE PLAN FOR

CHILD CARE & DEVELOPMENT FUND SERVICES

(FOR THE PERIOD 10/1/03 – 9/30/05)

APPENDIX 1 -- PROGRAM ASSURANCES AND CERTIFICATIONS

The Lead Agency, named in Part 1 of this Plan, assures that:

- (1) upon approval, it will have in effect a program that complies with the provisions of the Plan printed herein, and is administered in accordance with the Child Care and Development Block Grant Act of 1990 as amended, Section 418 of the Social Security Act, and all other applicable Federal laws and regulations. (658D(b), 658E(a))
- (2) the parent(s) of each eligible child within the State who receives or is offered child care services for which financial assistance is provided is given the option either to enroll such child with a child care provider that has a grant or contract for the provision of the service; or to receive a child care certificate. (658E(c)(2)(A)(i))
- in cases in which the parent(s) elects to enroll the child with a provider that has a grant or contract with the Lead Agency, the child will be enrolled with the eligible provider selected by the parent to the maximum extent practicable. (658E(c)(2)(A)(ii))
- (4) the child care certificate offered to parents shall be of a value commensurate with the subsidy value of child care services provided under a grant or contract. (658E(c)(2)(A)(iii)
- with respect to State and local regulatory requirements, health and safety requirements, payment rates, and registration requirements, State or local rules, procedures or other requirements promulgated for the purpose of the Child Care and Development Fund will not significantly restrict parental choice among categories of care or types of providers. (658E(c)(2)(A), §98.15(p), §98.30(g), §98.40(b)(2), §98.41(b), §98.43(c), §98.45(d))
- that children receiving services under the CCDF are age-appropriately immunized, and that the health and safety provisions regarding immunizations incorporate (by reference or otherwise) the latest recommendation for childhood immunizations of the State public health agency. (§98.41(a)(1))
- (7) that CCDF Discretionary funds are used to supplement, not supplant, State general revenue funds for child care assistance for low-income families.

STATE PLAN FOR

CHILD CARE & DEVELOPMENT FUND SERVICES

(FOR THE PERIOD 10/1/03 – 9/30/05)

The Lead Agency also certifies that:

- (1) it has procedures in place to ensure that providers of child care services for which assistance is provided under the Child Care and Development Fund afford parents unlimited access to their children and to the providers caring for their children during the normal hours of operations and whenever such children are in the care of such providers. (658E(c)(2)(B))
- it maintains a record of substantiated parental complaints and makes information regarding such complaints available to the public on request. (658E(c)(2)(C))
- it will collect and disseminate to parents of eligible children and the general public, consumer education information that will promote informed child care choices. (658E(c)(2)(D))
- (4) it has in effect licensing requirements applicable to child care services provided in the State. (658E(c)(2)(E))
- there are in effect within the State (or other area served by the Lead Agency), under State or local law, requirements designed to protect the health and safety of children; these requirements are applicable to child care providers that provide services for which assistance is made available under the Child Care and Development Fund. (658E(c)(2)(E))
- (6) procedures are in effect to ensure that child care providers of services for which assistance is provided under the Child Care and Development Fund comply with all applicable State or local health and safety requirements. (658E(c)(2)(G))
- (7) payment rates under the Child Care and Development Fund for the provision of child care services are sufficient to ensure equal access for eligible children to comparable child care services in the State or sub-State area that are provided to children whose parents are not eligible to receive assistance under this program or under any other Federal or State child care assistance programs. (658E(c)(4)(A))

STATE PLAN FOR

CHILD CARE & DEVELOPMENT FUND SERVICES

(FOR THE PERIOD 10/1/03 – 9/30/05)

APPENDIX 2 - ELIGIBILITY AND PRIORITY TERMINOLOGY:

For purposes of determining eligibility and priority for CCDF-funded child care services, lead agencies must define the following *italicized* terms. (658P, 658E(c)(3)(B))

- (1) *job training and educational program -*
- (2) attending (a job training or educational program; include minimum hours if applicable) -
- (3) in loco parentis -
- (4) *physical or mental incapacity* (if the Lead Agency provides such services to children age 13 and older) -
- (5) protective services -
- (6) residing with -
- (7) special needs child -
- (8) *very low income* -
- (9) working (include minimum hours if applicable) -
- (10) Additional terminology related to conditions of eligibility or priority established by the Lead Agency: